

## Chalcone-Appended Metallophthalocyanines: Synthesis, Redox Properties, and Catalytic Oxidation of 2-Mercaptoethanol

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A novel series of metallophthalocyanines (M = Zn, Co, Cd), each bearing four peripheral chalcone groups, was synthesized and its characterization was carried out through FT-IR, NMR (<sup>1</sup>H and <sup>13</sup>C), HRMS, UV-Vis spectroscopy. Electrochemical investigations revealed distinctive redox behaviors for each complex. Notably, Pc-6 underwent non-reversible reduction (−0.86 V) and oxidation (0.20 V), while Pc-7 and Pc-8 showed reversible reductions at −0.65 V and −0.64 V, respectively. Spectroelectrochemical analysis indicated that Pc-6 and Pc-8 rapidly decomposed following ring-based redox events, whereas Pc-7 displayed a more stable and dynamic transformation, characterized by distinct isosbestic points and the emergence of new absorption bands. This behavior underscores the enhanced electronic delocalization and redox stability of Pc-7. Furthermore, Pc-7 demonstrated catalytic activity in the oxidation of 2-mercaptoethanol, yielding a turnover number of 45.84 and an initial rate of 0.084 μmol·s<sup>−1</sup>. These results highlight the potential of chalcone-functionalized phthalocyanines, especially Pc-7, as robust redox-active and catalytic materials.

## 1. Introduction

Phthalocyanines, both metal-free and metallo variants, have been known for a century and are among the most extensively researched macrocyclic molecules. They share this distinction with biologically occurring porphyrins [1-8]. These compounds have garnered significant attention due to their valuable characteristics, including optical, electronic, electrical, photoelectric, and electrochemical properties [9-23]. Consequently, they have become the primary focus of research in the development of innovative electronic devices, gas sensors, detectors, catalytic reactions, and solar cells [24-36].

However, strong intermolecular interactions resulting from intramolecular  $\pi$ - $\pi$  interactions among phthalocyanines lead to aggregation,

which adversely impacts their solubility. This limitation hinders the full exploitation of their exceptional properties. To overcome this challenge, researchers have explored various approaches, such as attaching different functional groups or designing new molecules to bind to phthalocyanines, either at their periphery or non-peripheral positions [37-39]. Each of these attached groups or molecules imparts distinctive properties to phthalocyanines, further expanding their potential applications.

Chalcones, aromatic ketones and enones, serve as the fundamental building blocks for numerous biologically active compounds, boasting valuable properties including anti-cancer [40, 41], antioxidant [42-44], antimicrobial [45-49], cytotoxic [50-53], analgesic [54-58], and antipyretic [59, 60] effects. Moreover, they are recognized as precursors in the synthesis of

flavonoids and isoflavonoids [61, 62]. The creation of a basic chalcone compound typically involves aldol condensation, achieved through a sodium hydroxide-catalyzed reaction between an aldehyde and a ketone [63-66]. Chalcones possess excellent solubility and their inherent biological activities make them readily applicable in biological systems. Furthermore, they have been explored for a wide range of applications, including electrocatalysis [67, 68], photodynamic therapy [69], enzyme inhibition [70, 71], photodiode properties, photophysical and photochemical characteristics, metal sensing applications [72], DNA-binding, enzyme inhibition [73], as well as the synthesis, spectral analysis, electrochemical properties, and spectroelectrochemical properties of metallophthalocyanines substituted with chalcone moieties. These areas have become the focus of both experimental and theoretical investigations.

In this research, we will explore the synthesis of a phthalocyanine compound derived from chalcone and examine its electrochemical and spectroelectrochemical properties. We aim to compare the experimental spectroscopic findings with data calculated using the Density Functional Theory (DFT) method. The starting material for this endeavor is (E)-4-(4-(3-(4-methoxyphenyl)-3-oxoprop-1-en-1-yl)phenoxy)phthalonitrile, referred to as compound 5.

The newly designed metallophthalocyanines with chalcone substituents on the periphery were subjected to characterization through various analytical techniques, including  $^1\text{H}$ -NMR,  $^{13}\text{C}$ -NMR, IR, MALDI-TOF, and UV/Vis spectra. Additionally, we reported the theoretically calculated spectral data obtained through the DFT/B3LYP method.

## 2. Experimental

### 2.1. General

The glass materials were first heated to 150°C for an hour, then cooled in dessicator before the experiments. High-quality chemicals were commercially sourced and used without further purification. A Barnstead Electrothermal 9200 device was employed for melting purposes. A

thick-walled glass column was packed with Merck Silica Gel 60 for performing flash column chromatography. To monitor the reactions via chromatography, Merck Silica Gel PF-254 was utilized. Phosphomolybdic acid solution in ethanol, along with UV light, was used to reveal the spots on the chromatography. Anhydrous magnesium sulfate served as the drying agent for the solvents, while the solvent was evaporated by means of a rotary evaporator.

### 2.2. Spectroscopic Analysis

The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were measured on 300 MHz VARIAN Infinity Plus NMR spectrometer. The chemical shifts were recorded in ppm relative to  $\text{CDCl}_3$  ( $\delta$  7.26 for  $^1\text{H}$  and  $\delta$  77.0 for  $^{13}\text{C}$ ), and  $\text{DMSO-d}_6$  as internal standards. FT-IR spectra were recorded on a Perkin Elmer Spectrum Two. HRMS and MALDI-TOF mass spectra were recorded with a Bruker Daltonics flexAnalysis system. UV-Visible spectroscopy was recorded on a Shimadzu UV 2600 Spectrophotometer.

### 2.3. Catalytic Oxidation of 2-Mercaptoethanol

To ensure the reproducibility of the catalytic results, all oxidation experiments were conducted under strictly controlled conditions, with the temperature maintained at 25°C and the pH of the reaction medium kept constant throughout the study. The catalytic oxidation reaction was continuously monitored using an oxygen meter (CyberScan DO 300) within a closed system. In this setup,  $\text{Co(II)phthalocyanine}$  ( $\text{Co(II)Pc}$ ) was dissolved in 200 mL of THF, resulting in a total of 3.16  $\mu\text{mol}$  of the compound. The reaction mixture was oxygen-saturated by bubbling air through it, with atmospheric oxygen serving as the oxidizing agent.

The catalytic reaction was initiated by introducing 2.07 mmol of 2-mercaptoethanol into the solution. The ratio of 2-mercaptoethanol to  $\text{Co(II) phthalocyanine}$  was maintained at 654:1, which was found to be an effective catalytic activity ratio. 1 mL of a 0.25 wt% aqueous sodium hydroxide solution was introduced to the reactor, along with 2-mercaptoethanol, to facilitate the reaction. The

dissolved oxygen remaining in the mixture was continuously monitored, allowing for the calculation of time-dependent oxygen consumption. Various parameters were computed to characterize the catalytic activity of Co(II)phthalocyanine, including the turnover number (TON), the initial rate of reaction ( $\mu\text{mol O}_2\cdot\text{s}^{-1}$ ), and the overall consumption rate of oxygen ( $\mu\text{mol}\cdot\text{min}^{-1}$ ). To derive the turnover number, the moles of oxygen were divided by the moles of phthalocyanine, with the initial reaction rate being calculated by setting the first derivative of the rate equation to zero. The oxygen consumption rate was computed by dividing the moles of oxygen consumed by the respective time period [33, 38, 74-76].

## 2.4. Synthesis

### 2.4.1. Synthesis of (E)-3-(4-hydroxyphenyl)-1-(4-methoxyphenyl)prop-2-en-1-one, **3**

To prepare compound **3**, a solution of KOH (9.20 g) in a mixture of water and ethanol (1:1, 60 mL) was prepared. 4-hidroksibenzaldehit, **1**, (41 mmol, 5.00 g) was added into this solution by stirring. Then 4-methoxyacetophenone, **2**, (41 mmol, 1.23 g) was introduced into the same solution. The reaction mixture was kept stirring at room temperature under nitrogen for 24 hours. Afterward, it was transferred to a beaker, and an aqueous HCl solution was added to neutralize the basic medium. The solid precipitate formed was filtered using a sintered funnel and recrystallized in ethanol. This yielded a light yellow solid with a melting point of 193-195 °C and a chemical yield of 2.95 g (28.4%). The compound was characterized by FT-IR (ATR System,  $\text{cm}^{-1}$ ), showing peaks at 3146, 3025, 2933, 2836, 1629, 1582, 1566, 1555, 1504, 1367, 1339, 1318, 1272, 1207, 1174, 1160, 1102, 1031, 1012, 1002, 988, 970, 938, 873, 882, 812, and 777. Additionally, the  $^1\text{H}$  NMR (300 MHz, DMSO- $d_6$ ) spectrum displayed signals at  $\delta$  10.07 (s, 1H), 8.06 (d,  $J$  = 7.24, 2H), 7.73–7.48 (m, 5H), 7.45–7.34 (m, 1H), 6.79 (d,  $J$  = 8.40, 2H), and 3.75 (s, 3H). The  $^{13}\text{C}$  NMR (75 MHz, DMSO- $d_6$ ) spectrum exhibited signals at  $\delta$  189.1, 160.2, 144.6, 138.1, 131.2 (2C), 128.7 (2C), 128.4 (2C), 125.8 (2C), 118.8, 116.1 (2C), and 55.2. The exact mass was determined to be 254.09, and the HRMS data showed a calculated  $m/z$  value for  $\text{C}_{16}\text{H}_{14}\text{O}_3$  as

254.29, with a found value of  $[\text{M} + 2\text{H}]^+$  at 256.04.

### 2.4.2. Synthesis of Target Phthalonitrile, **5**

In a round-bottom flask, compound **3** (9.83 mmol, 2.50 g) and 4-nitrophthalonitrile (9.83 mmol, 1.70 g) were dissolved together in 40 mL of DMSO and stirred under a nitrogen atmosphere. After the addition of potassium carbonate (2.72 g, 19.66 mmol), the reaction was allowed to continue stirring for 24 hours. The reaction was quenched by reaction mixture into 50 mL of water. Extraction was performed using a mixture of dichloromethane and water. Anhydrous magnesium sulfate ( $\text{MgSO}_4$ ) was used to dry the organic layer, and the remaining solvent in the mixture was evaporated under vacuum. The resulting yellow solid obtained from this process was filtered and subjected to recrystallization in ethanol. The compound had a melting point of 177-179 °C, and the chemical yield was 1.95 g (52.1%). For the compound's characterization, FT-IR (ATR System,  $\text{cm}^{-1}$ ) was applied. The peaks are at 3060, 3042, 2936, 2924, 2838, 2231, 1651, 1605, 1581, 1556, 1502, 1479, 1439, 1401, 1331, 1280, 1249, 1206, 1169, 1152, 1104, 1088, 1038, 1012, 963, 944, 892, 869, 850, 834, and 822  $\text{cm}^{-1}$ . The  $^1\text{H}$  NMR (300 MHz, DMSO- $d_6$ ) spectrum displayed signals at  $\delta$  8.16-8.10 (m, 3H), 8.01 (d,  $J$  = 6.97, 1H), 7.88 (s, 1H), 7.54–7.52 (m, 4H), 7.06-6.95 (m, 4H), and 3.69 (s, 3H). The  $^{13}\text{C}$  NMR (75 MHz, DMSO- $d_6$ ) spectrum exhibited signals at  $\delta$  189.6, 160.5, 154.8, 142.5, 137.5, 135.1, 132.6, 132.5, 130.7 (2C), 128.2 (2C), 128.1 (2C), 122.4, 122.2, 121.4 (2C), 120.4, 117.3, 115.1, 114.9, and 109.1, with a  $^{13}\text{C}$  signal at 55.1. The exact mass was found to be 380.12, and HRMS data showed a calculated  $m/z$  value for  $\text{C}_{24}\text{H}_{16}\text{N}_2\text{O}_3$  as 380.40, with a found value of  $[\text{M}+2\text{H}]^+$  at 382.29.

### 2.4.3. Synthesis of Zinc Phthalocyanine, **Pc-6**

To synthesize **ZnPc, 5** (0.50 g, 1.31 mmol) was dissolved in a mixture of DMEA and DMF (1:2). Zinc acetate dihydrate (73.5 mg, 0.33 mmol) was added, and then stirred at 160 °C by refluxing under nitrogen atmosphere for 24 hours. The reaction was quenched into a mixture of methanol and water (1:1). The precipitate was filtered and washed. The dark green solid was

then dissolved in dichloromethane, dried with  $\text{MgSO}_4$ , and evaporated. The residue was purified by column chromatography on silica gel, using a mixture of ethyl acetate/hexane (1:5). This purification process yielded a dark green solid with a melting point greater than  $300^\circ\text{C}$  and a chemical yield of 0.214 g (41.0%). The compound was characterized by FT-IR (ATR System,  $\text{cm}^{-1}$ ), showing peaks at 3176, 3040, 2938, 2912, 2838, 1762, 1726, 1642, 1576, 1564, 1466, 1445, 1414, 1385, 1348, 1329, 1246, 1218, 1203, 1145, 1057, 1022, 1008, 978, 943, 921, 882, 825, and 776. The  $^1\text{H}$  NMR (300 MHz,  $\text{DMSO-d}_6$ ) spectrum displayed signals at  $\delta$  8.04–7.98 (m, 5H), 7.88–7.78 (m, 5H), 7.73–7.68 (m, 10H), 7.61–7.55 (m, 5H), 7.53–7.49 (m, 15H), 7.38–7.33 (m, 8H), 7.14–7.09 (m, 4H), and 3.86–3.70 (m, 12H). MALDI-TOF MS data showed a calculated  $m/z$  value for  $\text{C}_{96}\text{H}_{64}\text{N}_8\text{O}_{12}\text{Zn}$  as 1586.99, with a found value of  $[\text{M} + 2\text{H}]^+$  at 1588.8.

#### 2.4.4. Synthesis of Cobalt(II) Phthalocyanine, Pc-7

For the synthesis of **Pc-7**, compound **5** (0.50 g, 1.31 mmol) was dissolved in a mixture of DMEA and DMF (1:2). Cobalt(II) acetate tetrahydrate (81.4 mg, 0.33 mmol) was added to the mixture, and the stirred at  $160^\circ\text{C}$  under reflux in a nitrogen atmosphere for 24 hours. The reaction was quenched into a mixture of methanol and water (1:1). The precipitate was filtered and washed with methanol. The dark green solid was dissolved in dichloromethane and dried with  $\text{MgSO}_4$ , and then the solvent was evaporated. The residue was purified by column chromatography on silica gel, using a mixture of ethyl acetate/hexane (1:5). The purification process yielded a dark green solid with a melting point greater than  $300^\circ\text{C}$  and a chemical yield of 0.223 g (43.0%). Characterization of the compound was carried out by FT-IR (ATR System,  $\text{cm}^{-1}$ ), revealing peaks at 3064, 3037, 2918, 2845, 1706, 1648, 1580, 1562, 1518, 1508, 1461, 1439, 1404, 1327, 1256, 1227, 1211, 1164, 1112, 1089, 1052, 1029, 1015, 978, 950, 871, and 773. MALDI-TOF MS data showed a calculated  $m/z$  value for  $\text{C}_{96}\text{H}_{64}\text{N}_8\text{O}_{12}\text{Co}$  as 1580.55, with a found value of  $[\text{M} + 2\text{H}]^+$  at 1582.4.

#### 2.4.5. Synthesis of Cadmium(II) Phthalocyanine, Pc-8

For the synthesis of Cadmium(II) Phthalocyanine, compound **5** (0.50 g, 1.31 mmol) was dissolved in a mixture of DMEA and DMF (1:2). Cadmium acetate dihydrate (87 mg, 0.33 mmol) was added, and stirred at  $160^\circ\text{C}$  under reflux in a nitrogen atmosphere for 24 hours [77]. The reaction was quenched into a mixture of methanol and water (1:1). The precipitate was filtered and washed with methanol. The dark green solid was then dissolved in dichloromethane, dried with  $\text{MgSO}_4$ , and the solvent was evaporated. The residue was further purified by column chromatography on silica gel, with an ethyl acetate/hexane mixture (1:5). The purification process yielded a dark green solid with a melting point greater than  $300^\circ\text{C}$  and a chemical yield of 0.126 g (23.5%). Characterization of the compound was carried out by FT-IR (ATR System,  $\text{cm}^{-1}$ ), revealing peaks at 3068, 2928, 1721, 1654, 1566, 1551, 1452, 1435, 1402, 1368, 1327, 1299, 1254, 1205, 1130, 1071, 1042, 1018, 987, 954, 936, 878, 854, and 775. The  $^1\text{H}$  NMR (300 MHz,  $\text{DMSO-d}_6$ ) spectrum displayed signals at  $\delta$  8.11–7.72 (m, 20H), 7.65–7.44 (m, 20H), 7.36–7.03 (m, 12H), and 3.82–3.64 (m, 12H). MALDI-TOF MS data showed a calculated  $m/z$  value for  $\text{C}_{96}\text{H}_{64}\text{N}_8\text{O}_{12}\text{Cd}$  as 1634.02, with a found value of  $[\text{M} + 2\text{H}]^+$  at 1635.9.

### 2.5. Quantum Chemical Calculations

Quantum chemical calculations were employed to determine various electronic properties, including HOMO (Highest Occupied Molecular Orbital), LUMO (Lowest Unoccupied Molecular Orbital),  $\Delta E$  (Energy Gap), chemical hardness, global softness, electronegativity, nucleophilicity, and chemical potential. These calculations were carried out using Gaussian 16W [78], and the results were visualized using GausView 6.0.16 [79]. For calculating the quantum chemical properties of the monomer phthalonitrile **5**, the Hartree-Fock and B3LYP [80] methods, coupled with the 6-311++G(d,p) basis set, were applied.

### 3. Results and Discussion

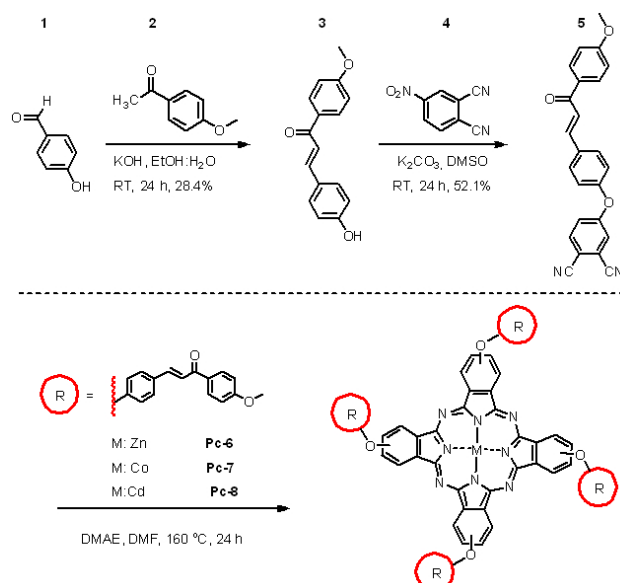
#### 3.1. Synthesis

To initiate the synthesis of the target Phthalocyanines (Pcs), the first step involved the synthesis of chalcone derivative (**Compound 3**). This was achieved by reacting **4-hydroxybenzaldehyde (Compound 1)** and 4-methoxyacetophenone in a mixture of ethanol and water, with the assistance of KOH as a catalyst. After purification using flash column chromatography, the reaction yielded a light yellow solid with a calculated yield of 28.4%. **This relatively low yield is attributed to the rigorous purification steps and multiple washing processes carried out to ensure high chemical purity for the subsequent stages.** This synthesized chalcone **compound 3** was then used as a starting material to synthesize a new chalcone-derived phthalonitrile compound, known as **compound 5**, through an S<sub>N</sub>Ar (Nucleophilic Aromatic Substitution) reaction between **compound 3** and 4-nitrophthalonitrile (Scheme 1).

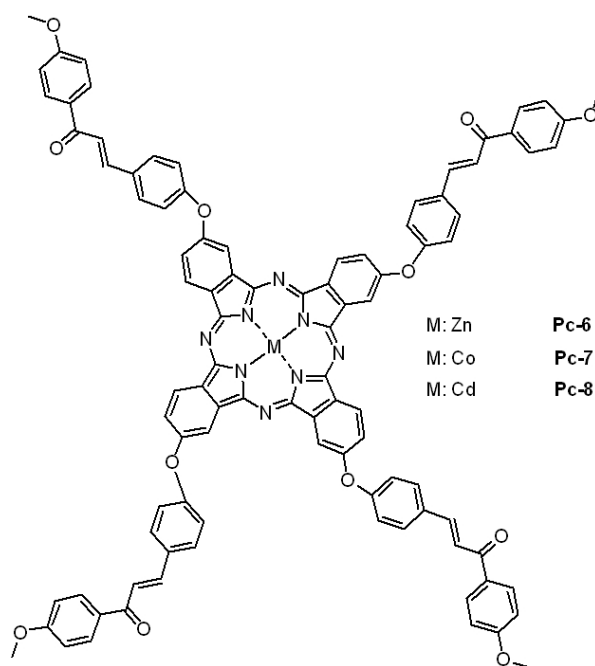
After the synthesis of compound 5, flash column chromatography was employed, resulting in a chemical reaction yield of 52.1% for phthalonitrile 5. The structures of compounds **3** and **5** were determined using <sup>1</sup>H and <sup>13</sup>C NMR spectra. Furthermore, the synthesized molecules, compounds **3** and **5**, were confirmed through FT-IR spectra. Compound 5, the phthalonitrile, served as the starting material for the production of metallophthalocyanines (MPcs). Zinc acetate dihydrate (Zn(OAc)<sub>2</sub>·2H<sub>2</sub>O), cobalt acetate tetrahydrate (Co(OAc)<sub>2</sub>·4H<sub>2</sub>O), and cadmium acetate dihydrate were used as the metal ion sources to create the central metal ions in the phthalocyanines: Zn<sup>2+</sup>, Co<sup>2+</sup>, and Cd<sup>2+</sup>. As anticipated, the cyclotetramerization reaction produced an isomeric mixture of the desired MPcs (as shown in Scheme 2).

For various applications such as catalysis, metal sensing, or electrochemistry, the solubility of the Phthalocyanines (Pcs) is of great importance [33, 37, 38, 81-84]. Solubility in the solvent affects the interaction of the Pcs with other species. **Pc-6** exhibited excellent solubility in

dichloromethane and chloroform, so its NMR spectra were obtained in CDCl<sub>3</sub>.



**Scheme 1.** Synthesis of target phthalonitrile **5** and the target Phthalocyanines (Pcs)



**Scheme 2.** The target phthalocyanines

The <sup>1</sup>H NMR spectrum of the Co(II)Pc (Pc-7) could not be recorded due to the **paramagnetic nature** of the cobalt central metal, which causes excessive line broadening and prevents meaningful signal resolution. Additionally, the observed broad multiplets in the <sup>1</sup>H NMR spectra of the other synthesized phthalocyanines are attributed to **molecular aggregation effects** arising from  $\pi$ - $\pi$  interactions between the macrocyclic rings, even in diluted solutions.

Regarding the FT-IR analysis, the slight shifts in C=O stretching vibrations indicate changes in the **electronic environment and conjugation** within the chalcone substituents upon their peripheral attachment to the phthalocyanine core. **Pc-7** and **Pc-8** were highly soluble in dichloromethane but only slightly soluble in chloroform. All of these phthalocyanines exhibited good solubility in DMSO. The structures of the novel Pc compounds were characterized using instrumental analytic techniques.

### 3.2. Structure Elucidation

FT-IR spectra were obtained to confirm the structures of the compounds at different stages of the reaction. In the FT-IR spectra, various characteristic bands shifted as the reaction progressed. For instance, the band at  $1651\text{ cm}^{-1}$ , indicative of the stretching vibration of C=O carbonyl bonds in compound **5**, shifted to  $1726\text{ cm}^{-1}$  for **Pc-6**,  $1706\text{ cm}^{-1}$  for **Pc-7**, and  $1721\text{ cm}^{-1}$  for **Pc-8** in the phthalocyanines. Similarly, the band at  $1605\text{ cm}^{-1}$ , because of the stretching movement of C=C bonds in the molecule **5**, shifted to  $1642\text{ cm}^{-1}$  for **Pc-6**,  $1648\text{ cm}^{-1}$  for **Pc-7**, and  $1654\text{ cm}^{-1}$  for **Pc-8** in the phthalocyanines. During the synthesis of compound **5**, the phenolic OH band at  $3146\text{ cm}^{-1}$  disappeared, and a strong characteristic band for CN triple bond vibration at  $2231\text{ cm}^{-1}$  appeared.

**This CN vibration band disappeared after the synthesis of the phthalocyanines, serving as evidence of the cyclotetramerization of monomer 5 in the FT-IR spectrum of all MPcs.**

Disappearance of the CN vibration band post-synthesis demonstrates the cyclotetramerization of monomer **5**, as evidenced by the FT-IR spectra of the resulting MPcs. Other bands below  $2000\text{ cm}^{-1}$  did not exhibit characteristic changes. The absorption bands at 3176, 3040, 2938, 2912, 2838, 1762, 1726, 1642, 1576, 1564, 1466, 1445, 1414, 1385, 1348, 1329, 1246, 1218, 1203, 1145, 1057, 1022, 1008, 978, 943, 921, 882, 825, and  $776\text{ cm}^{-1}$  were observed for **Pc-6**, and these bands are associated with the skeletal vibrations of phthalocyanine [39, 85]. **Pc-7** exhibited very similar bands between  $3064$  and  $773\text{ cm}^{-1}$ , while

**Pc-8** showed bands between  $3068$  and  $775\text{ cm}^{-1}$  in their FT-IR spectra.

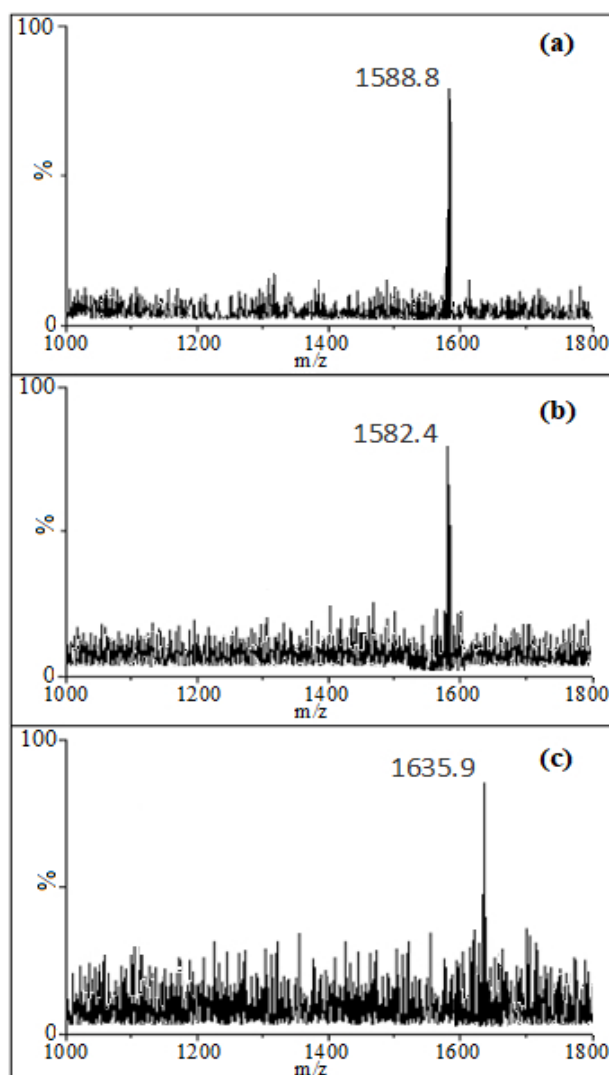


**Figure 1.** MALDI-TOF MS data of (a) ZnPc, (b) Co(II)Pc and (c) Cd(II)Pc

In the  $^1\text{H}$  NMR spectra of compounds **3** and **5**, peaks were observed between 7 and 8.16 ppm due to the characteristic aromatic structure. Compound **3** displayed a peak at 10.07 ppm attributed to OH, which disappeared upon the synthesis of compound **5**. Additionally, the band at 3.75 ppm in compound **3**, attributed to methyl, continued to exist at 3.69 ppm in compound **5**. These changes indicated the success of these syntheses. When compound **5** underwent cyclotetramerization, the fused benzene rings in the resulting phthalocyanine structure produced distinct proton signals in the upfield region, similar to the proton signals of the phthalonitrile precursors. The  $^1\text{H}$ -NMR spectra of the target phthalocyanines revealed peaks with subtle chemical shift changes. Figure 1 shows the

MALDI-TOF MS spectra of metallophthalocyanines.

The presence of the molecular ion peaks at  $m/z = 1588.8 [M+2H]^+$ ,  $1582.4 [M+2H]^+$ , and  $1635.9 [M+2H]^+$  for ZnPc, Co(II)Pc and Cd(II)Pc, verifies the successful synthesis of the intended phthalocyanines.

### 3.3. Electrochemical and Spectroelectrochemical Measurements

Cyclic voltammograms were recorded using a Parstat potentiostat. In the three-electrode configuration, a Glassy Carbon Electrode (GCE) served as the working electrode, a Pt wire as the counter electrode, and Ag/AgCl as the reference electrode. Dimethylsulfoxide (DMSO) of extra-pure quality was used as the solvent, and a 0.1 mol/L solution of tetra-*n*-butylammonium tetrafluoroborate (TBABF<sub>4</sub>, 99%) served as the supporting electrolyte.

Figure 2 illustrates the cyclic voltammograms for the metallophthalocyanines in the DMSO/TBABF<sub>4</sub> solution. In Figure 2, (a) shows non-reversible reduction at -0.86 V and non-reversible oxidation at 0.20 V for **Pc-6**. Figure 2 (b) displays a reversible reduction pair at -0.65 V for **Pc-7**. In the cyclic voltammetric study of **Pc-8**, as shown in Figure 2 (c), a reversible reduction at -0.64 V can be observed.

Spectroelectrochemical studies were conducted using an Optically Transparent Thin-Layer Electrode (OTTLE) cell kit, as depicted in Figure 3 and Figure 4.

For ZnPc, a prominent Q band was detected at 679 nm in DMSO, with a shoulder at 648 nm and a vibronic band at 610 nm, as well as a Soret band peaking at 394 nm (Figure 3 (a) and (b)). Similarly, Co(II)Pc exhibited a strong Q band at 662 nm in DMSO, with a shoulder at 648 nm, a vibronic band at 602 nm, and a Soret band at 394 nm (Figure 4 (a)). Cd(II)Pc showed a strong Q band at 684 nm in DMSO, with a shoulder at 655 nm, a vibronic band at 614 nm, and a Soret band at 394 nm (Figure 4 (b)).

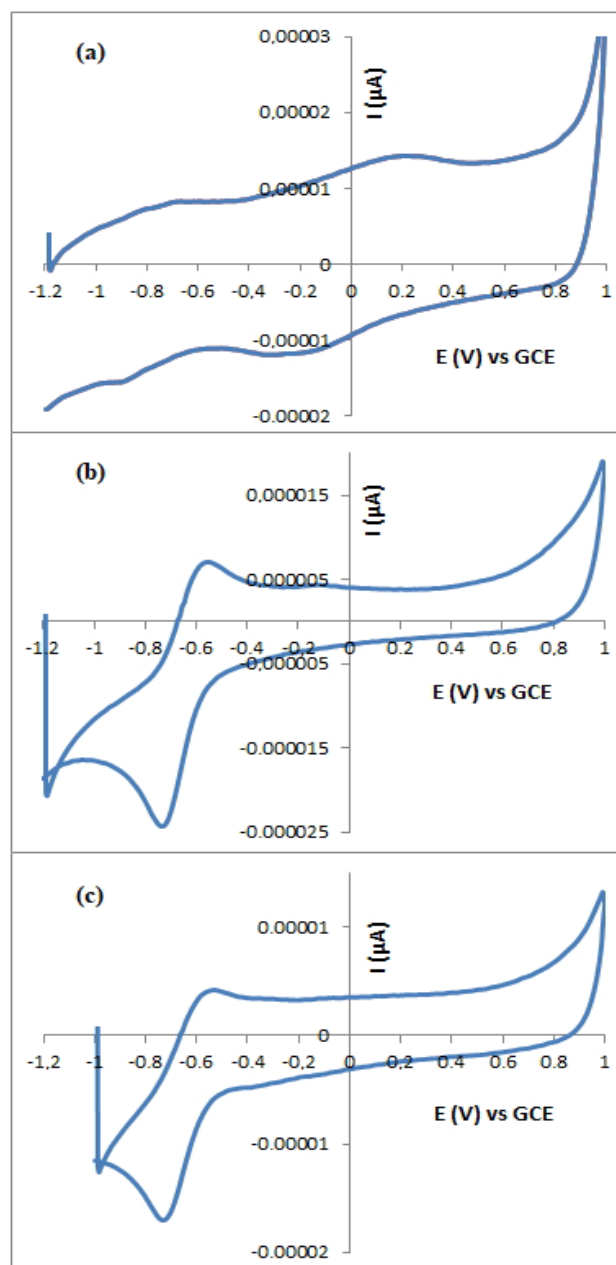


**Figure 2.** Cyclic voltammograms of (a) ZnPc, (b) Co(II)Pc and (c) Cd(II)Pc

Figure 3 (a) illustrates the in-situ UV-Visible spectral changes during the reduction process at a constant potential of -0.86 V, while Figure 3 (b) shows the spectral changes during the oxidation process at a constant potential of 0.20 V for **Pc-6**. In both Figure 3 (a) and (b), it can be observed that the entire absorption bands were reduced during reduction and oxidized during oxidation, without the formation of any new bands. This behavior suggests that the complex undergoes ring decomposition immediately after the redox process.

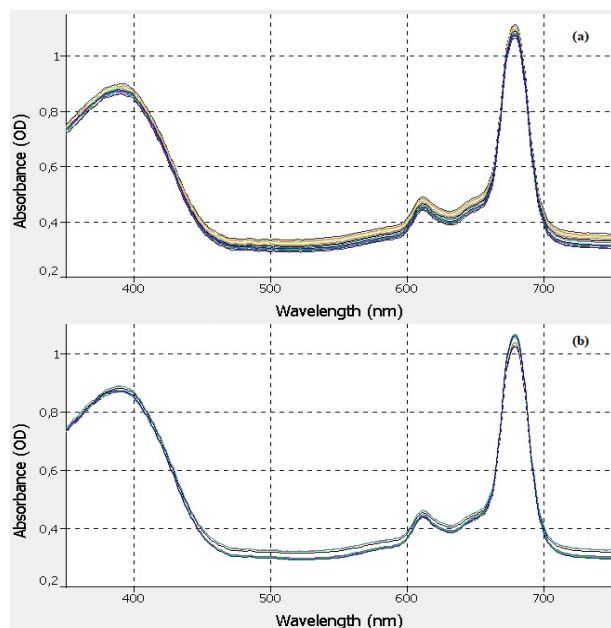


**Figure 3.** In-situ UV-Vis spectra of ZnPc (DMSO, 0.1 M TBABF<sub>4</sub>) at (a) -0.86 V, (b) 0.20 V

Figure 4 illustrates the UV-Vis in-situ spectral changes for complexes **Pc-7** and **Pc-8**. Upon closer examination of Figure 4 (b), it becomes evident that **Pc-8** also undergoes ring decomposition immediately after the redox process at a potential of -0.64 V. In Figure 4 (a), isosbestic points are seen on 387 nm, 572 nm, and 687 nm for **Pc-7**. Furthermore, new bands at 483 nm and 704 nm are formed. Isosbestic points are well-known indicators of a transition from one state to another.

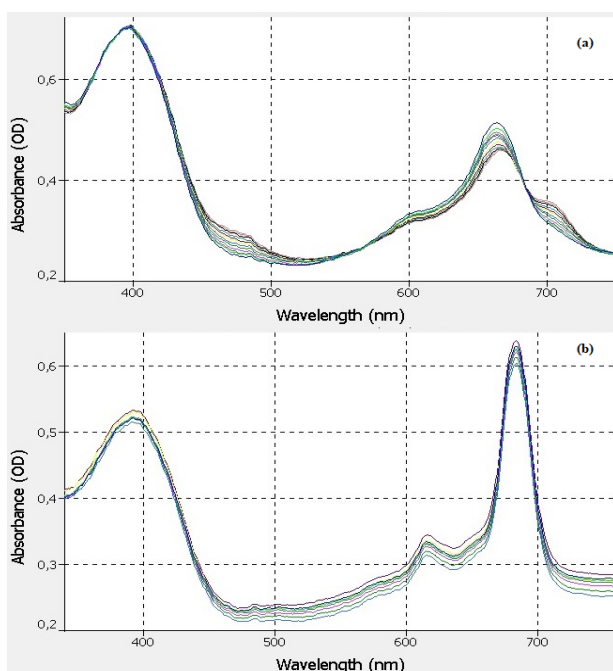


**Figure 4.** In-situ UV-Vis spectra (a) Co(II)Pc and (b) Cd(II)Pc (DMSO, 0.1 M TBABF<sub>4</sub>) at potential of the (a) -0.65 V, (b) -0.64 V

The constant absorption spectrum observed at 684 nm in the Q band during constant electrolysis shows that no type of aggregation occurs. These spectral changes, along with the formation of clearly defined isosbestic points, suggest that they are due to ring-based reduction. Importantly, during any in-situ spectroelectrochemical process, the Q band remained unchanged. This behavior may result from the relative stabilization of the HOMO over the LUMO in MPc complexes [86]. However, in the specific case of Co(II)Pc (**Pc-7**), the nature of the newly formed bands requires a more detailed assignment. The appearance of a new absorption band in the visible region (around 450–480 nm) is often characteristic of a metal-centered reduction, leading to the formation of [Co(I)Pc(-2)]<sup>-</sup> species. This potential Co(I) formation represents one of the most original findings of the study, as it distinguishes the redox behavior of the cobalt complex from the ring-reduced products observed in the Zn(II) and Cd(II) derivatives.

### 3.4. Quantum Chemical Calculations

To better understand the reaction mechanism, it is crucial to examine the quantum chemical parameters such as HOMO, LUMO, and the band gap ( $\Delta E$ ) which reflects the energy difference between them. **Accordingly, the calculated electronic properties and energy levels of compound 5, obtained at the B3LYP/6-311++G(d,p) level of theory, are summarized and presented in Table 1.**

**Table 1.** The quantum chemical parameters of compound **5** (B3LYP 6.311++G(d,p) (eV))

| Compound <b>5</b>                 |          |
|-----------------------------------|----------|
|                                   | B3LYP    |
| E <sub>HOMO</sub>                 | -7,02979 |
| E <sub>LUMO</sub>                 | -2,76196 |
| Band Gap, $\Delta E$              | 4,26784  |
| Chemical hardness, $\eta$         | 2,13392  |
| Global softness, $\sigma$         | 0,46862  |
| Global electrophilicity, $\omega$ | 5,61633  |
| Ionization potential, I           | 7,02979  |
| Electron affinity, A              | 2,76196  |
| Electronegativity, $\chi$         | 4,89587  |

Analysis of the HOMO and LUMO provides valuable insights into the specific regions of the molecule that are involved in the reaction. The electron-donating tendency is associated with the HOMO, indicating that molecules with higher HOMO energy levels are more prone to donate electrons readily. Conversely, the electron-accepting propensity is linked to the LUMO, suggesting that molecules with lower LUMO energy levels are more inclined to accept electrons easily. Therefore, molecules exhibiting higher HOMO levels and lower LUMO levels tend to display higher reactivity.

Chemical hardness, denoted as  $\eta$ , characterizes the resistance of the electron cloud to polarization. This factor is key in enabling ligands to interact with metal ions of similar hardness, thus influencing their reactivity. The global softness ( $\sigma$ ) and chemical hardness are both explained in terms of the energy values of the HOMO and LUMO [33].

$$\text{Chemical hardness,} \\ \eta = \frac{E_{\text{LUMO}} - E_{\text{HOMO}}}{2} = \frac{I - A}{2} \quad (1)$$

$$\text{Global softness,} \\ \sigma = \frac{1}{\eta} \quad (2)$$

The chemical potential is expressed as the derivative of energy at equilibrium relative to the number of electrons, in a fixed molecular geometry,  $\mu$ :

$$\text{The chemical potential of a system,} \\ \mu = \frac{E_{\text{HOMO}} + E_{\text{LUMO}}}{2} \quad (3)$$

The chemical potential is the negative of electronegativity,  $\chi$ , while the global electrophilicity index ( $\omega$ ) is derived using Eq. (5).

$$\text{Electronegativity,} \\ \chi = \frac{I + A}{2} \quad (4)$$

$$\text{The global electrophilicity index} \\ \omega = \frac{\chi^2}{2\eta} \quad (5)$$

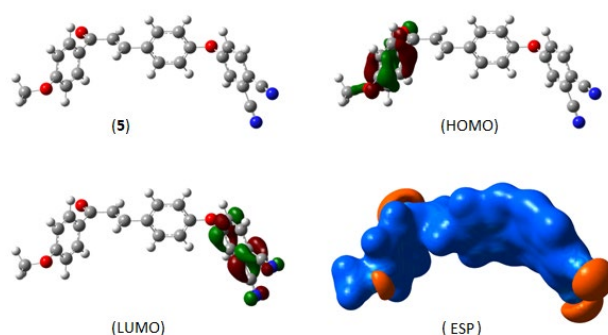


Figure 5. HOMO and LUMO orbitals, ESP and the optimized geometric structures of phthalonitrile **5**

Figure 5 illustrates the HOMO, LUMO, ESP and the optimized geometric structures of phthalonitrile **5**. The ESP map indicates which atom has a higher electron density on the surface

### 3.5. Catalytic Oxidation of 2-Mercaptoethanol

The oxidation of 2-mercaptoethanol is a commonly studied reaction for evaluating the catalytic performance of Co(II) phthalocyanine. In this investigation, **Pc-7**, Co(II)phthalocyanine plays a crucial role by acting as a bridge between the thiolate anion  $\text{RS}^-$  and the oxygen ( $\text{O}_2$ ) derived from the air. Co(II)phthalocyanine facilitates the connection between  $\text{RS}^-$  and  $\text{O}_2$  through the central Co(II) atom at the core of the phthalocyanine. In the course of this coordination, an electron transfer reaction happens, with electrons migrating from the thiol group to oxygen through the central Co(II). As a result, thiol radicals are formed, which then combine to create disulfide compounds. Disulfide compounds are less reactive than thiol compounds, leading to a decrease in the reactivity of the thiol compounds due to this oxidation reaction. The overall reaction between 2-mercaptoethanol and oxygen, as shown in Equation (6), forms the basis for evaluating catalytic activity [33, 38,87]:



In this reaction, four molecules of thiolate ( $\text{R-S}^-$ ) react with oxygen ( $\text{O}_2$ ) to form a disulfide compound ( $\text{R-S-S-R}$ ) and four hydroxide ions ( $4\text{OH}^-$ ). In order to begin the reaction, NaOH is introduced into the thiol ( $\text{RSH}$ ) solution, leading to proton loss and the formation of thiolate ( $\text{RS}^-$ ). Co(II)Pc then will catalyze the oxidation of 2-mercaptoethanol. The progress of the oxidation

reaction and the catalytic efficiency of Pc-7 were confirmed by measuring the oxygen consumption, as depicted in Figure 6.

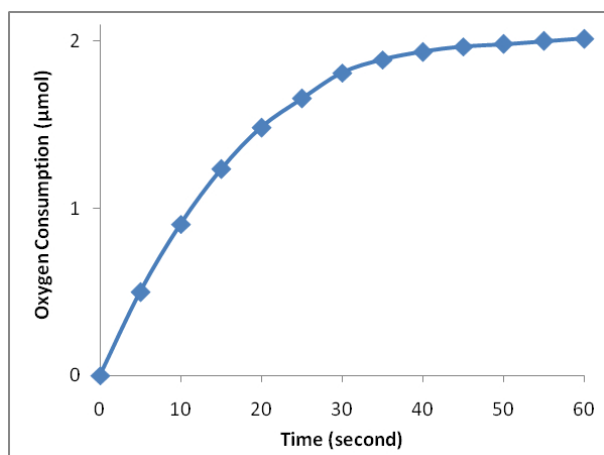


**Figure 6.** Oxygen consumption in the oxidation of 2-mercaptoethanol by Pc-7

The catalytic performance of the synthesized Co(II)Pc was numerically evaluated by comparing its TON value (45.84) with previously reported similar systems. For instance, in a study conducted by Karaca [87], cobalt (II) phthalocyanines bearing furan and thiophene chalcones exhibited TON values of 16.6 and 15.9, respectively, for the oxidation of 2-mercaptoethanol under similar conditions. The significantly higher TON value obtained in our study (45.84) suggests that the specific substitution pattern and the electronic nature of the chalcone groups on our complex effectively enhance the catalytic efficiency and stability of the cobalt center during the oxidation process.

#### 4. Conclusion

In summary, this study successfully synthesized and characterized new Zn, Co(II), and Cd(II) phthalocyanines with chalcone groups attached to their periphery. The synthesis process began with the preparation of the chalcone group compound **3** in an ethanol-water mixture. Subsequently, this chalcone group was used to react with 4-nitrophthalonitrile through an  $S_NAr$ -type substitution reaction. A well-established method for phthalocyanine synthesis was employed to directly synthesize all three phthalocyanines: ZnPc, Co(II)Pc and Cd(II)Pc.

The structural integrity of all synthesized compounds was confirmed using a range of techniques, including FT-IR,  $^1H$  NMR,  $^{13}C$

NMR, and MALDI-TOF MS. Cyclic voltammetry revealed one reduction and one oxidation reaction for ZnPc, one reduction reaction for Co(II)Pc, and one reduction reaction for Cd(II)Pc. Spectroelectrochemical investigations provided insights into the ring-based redox potentials for these metal phthalocyanines. ZnPc exhibited immediate complex decomposition following the ring-based redox potential, while Cd(II)Pc also displayed a ring-based decomposition.

Furthermore, Co(II)Pc was explored as a catalyst in the oxidation of 2-mercaptoethanol. This reaction, known for evaluating the catalytic activity of metallophthalocyanines, yielded significant results. The calculated parameters included a turnover number (TON) of 45.84, an initial reaction rate of  $0.084 \mu\text{mol}\cdot\text{s}^{-1}$ , and oxygen consumption at a rate of  $36.25 \mu\text{mol}\cdot\text{L}^{-1}\cdot\text{min}^{-1}$  over time.

#### Article Information Form

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##### Authors' Contribution

H. Karaca: Conceptualisation, Experimental Section, Writing, Computational Chemistry Calculations and Catalysis experiments and calculations.

Ö. Güven: Experimental Section and preparation of Figures.

M.Dinesh Kumar: Writing and Computational Calculations.

R. Ravikumar: Writing, Computational Chemistry Calculations

##### The Declaration of Conflict of Interest/ Common Interest

No conflict of interest or common interest has been declared by authors.

##### Artificial Intelligence Statement

No artificial intelligence tools were used while writing this article.

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